FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Machinaton	$D \subset$	20540
Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burden								
hours per recognoses:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	nd Address o	f Reporting Person*						lame <b>and</b> Ticker or Trading Symbol						elationship o eck all applica X Director	able)	Reporting Person(s) to Issuer ole) 10% Owner			
	ESS?, INC.	First)	(Middle)		06	6/11/2	2020		action (Month/Day/Year)					below)		Other (specify below) and Director			
(Street) LOS AN (City)	GELES (	CA State)	90021 (Zip)		4.	4. If Amendment, Date of Original Filed (Month/Day/Year)							Line	e) <mark>X</mark> Form fil Form fil	lividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date			Date	nsaction h/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.		4. Securiti Disposed	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			t of s lly ollowing	Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price	Reported Transacti (Instr. 3 a	on(s)	("		(Instr. 4)	
Common	Stock													509	509,155 D				
Common	Stock																	Dy Family Trust <sup>(1)</sup>	
Common Stock													138	138,943		I 1	by Family Trust <sup>(2)</sup>		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Ir			Derivative		6. Date E Expiratio (Month/D	n Dat		7. Title an of Securit Underlyin Derivative (Instr. 3 ar	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	re es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Cod	ode	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Share	5	(Instr. 4)				
Employee Stock Option (right to buy)	\$8.64	06/11/2020			A		348,157 <sup>(3)</sup>		(4)		06/11/2030	Common Stock	348,15	7 \$0	348,1	.57	D		

## **Explanation of Responses:**

- 1. Shares are held by Alberini Family LLC.
- 2. Shares are held by Carlos and Andrea Alberini Trust.
- 3. Acquired Pursuant to Issuer's employee equity plan.
- 4. The option vests in three equal installments on June 11 of each 2021, 2022 and 2023.

/s/ Jason T. Miller (Attorney-in-06/15/2020

fact)

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.